

Clafin University Institutional Review Board  
Vice Provost of Research  
400 Magnolia Street  
Orangeburg, South Carolina 29115  
Email: [IRB@Clafin.edu](mailto:IRB@Clafin.edu) and [vpr@clafin.edu](mailto:vpr@clafin.edu)

### Investigator's Checklist for IRB Submission

Please make sure that your application is complete prior to submitting it to the IRB. Please be particularly careful that your consent form (or consent procedure) includes all of the information listed below.

Submit application to [IRB@clafin.edu](mailto:IRB@clafin.edu), with a single signed hard copy sent to the Office of the Vice Provost of Research ([vpr@clafin.edu](mailto:vpr@clafin.edu)). The emailed application must be received no later than three weeks before an IRB meeting to be considered at that meeting, except for student research application, which must be submitted two weeks before the desired decision date. Final approval will not be granted before receipt of the aforementioned hard copy.

#### **Application:**

- Completed and signed Proposal Submission Form
- Protocol Summary (5 page limit: identifies research question; describes methods)
- Data collection instruments (must coincide with parts of study described in protocol)
- Recruitment materials (as applicable)
- Consent document (or rationale for deviation from written consent if research is not exempt)
- Certificate of training in protection of human subjects: <http://phrp.nihtraining.com/users/login.php>

#### **Consent Form:** (Written for a lay audience)

- Identification of researcher's position, institution
- Consent form and date
- Description of study (appropriate for lay audience)
- Description of procedure (activities, duration: audio or videotaping)
- Statement of benefits and risks (even if there are no direct benefits or known risks, explain precautions if there are risks, monetary payment does not constitute a benefit)
- Statement of voluntary nature of participation (including the right to skip questions)
- Statement of confidentiality (rationale if deviate from complete anonymity; may include waiver to use names of respondents; specify how data will be used)
- [*Studies using audio, photographic, or video recordings*] Explanation of use of recordings and release information
  - a) Explain use of recording
  - b) Explain plan for storage
  - c) Explain how information will be disseminated, if applicable
- Contact persons (PI, Clafin Sponsor (if external researcher))
- Copy of consent form given to respondent with included statement: "If you have questions about your rights as a participant in this study, you may contact the Office of the Vice Provost of Research, whose office oversees the protection of human research participants at (803-535-5544, 5176, 5177)
- Signatures and date

Clafin University Institutional Review Board  
Proposal Submission Form

Vice Provost of Research  
400 Magnolia Street  
Orangeburg, South Carolina 29115  
Email: [IRB@Clafin.edu](mailto:IRB@Clafin.edu) and [ypr@clafin.edu](mailto:ypr@clafin.edu)

DATE OF SUBMISSION

FOR OFFICE USE ONLY	
Number	
Review Type:	Exempt <input type="checkbox"/> Expedited <input type="checkbox"/> Full <input type="checkbox"/>
PR	

Proposal type:  Original  Revised

Proposal Title	36T
Proposed start date	36T
Anticipated of duration of research	36T

**Type of Research:**

- Clafin Student Classroom project
- Clafin Student Thesis project
- Clafin faculty project
- Clafin staff project
- External researcher project

**Investigators (please attach additional investigators as necessary):**

**Principal Investigator (for student research, faculty advisor is the PI)**

Name: 36T  
Department: 36T  
Phone: 36T  
Email: 36T  
University Affiliation: 36T

**Co-Investigator (including student researchers):**

Name: 36T  
Department: 36T  
Phone: 36T  
Email: 36T  
University Affiliation: **36T**

**Co-Investigator (including student researchers):**

Name: 36T

Department: 36T

Phone: 36T

Email: 36T

University Affiliation: **36T**

**Claflin Sponsor (If the researcher is not affiliated with Claflin):**

**Name: 36T**

**Department: 36T**

**Phone: 36T**

**Email: 36T**

**University Affiliation: 36T**

**Data Sources**

Number of participants: 36T

How this number was determined (e.g. power analysis). 36T

Does this project require the collection of new data?  Yes  No

If Yes: How will participant be selected or recruited?36T

Will subjects participate on a fully voluntary basis?  Yes  No

Will subjects be compensated for their participation?  Yes  No

If yes: Please describe briefly the compensation:  
36T

Does this project make use of human tissue or cell lines?  Yes  No

Briefly describe the research methodology(ies) to be used in this study(e.g. focus group, participant observation, survey, experiment).

36T

Does this project use data that have already been collected for a non-research purpose or by another researcher?  Yes  No

If yes: What is the source of the data?

36T

Are the data accessible in the public domain?  Yes  No

If No: Are fields included that would allow identification of individuals, either directly or indirectly?  Yes  No

If yes: Please explain briefly how participant confidentiality will be safeguarded:  
36T

## Participant Risks

Will participants be exposed to any stresses (e.g. anxiety, pain, etc.) or physical harm (e.g. injury, infection, etc.) in connection with this research?  Yes  No

If yes: Please briefly explain what risks may be involved in the research, what specific steps will be taken to minimize and monitor the risk, and what will be done to compensate and/or treat participants who are harmed by the research:

36T

Does the research design require that participants be deceived?  Yes  No

If yes: Please briefly explain why deception is necessary and what steps will be taken to ameliorate potential harm from this deception:

36T

## Potentially Vulnerable Populations

Will this research involve:

Physically/Mentally Challenged	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Young children (ages 0-13)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Older children (ages 14-17)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Senior Citizens (over age 65)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Pregnant Women	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Prisoners	<input type="checkbox"/> Yes	<input type="checkbox"/> No

If yes to any of the above: Please briefly explain how the rights of this (these) population(s) will be protected:

36T

## Informed Consent, Continued

Will participants be fully informed about:

The voluntary nature of participation and the freedom to skip questions and/or withdraw without penalty  Yes  No

The purposes and procedures of the research  Yes  No

Any reasonably foreseeable risks or discomforts  Yes  No

Any benefits to the participants or to others from the research  Yes  No

The extent to which confidentiality will be maintained  Yes  No

For research involving risks, a description of compensation and/or treatments available if injury occurs  Yes  No

Whom to contact for information about the research, participants'  Yes  No

rights, and research-related injury

**If the answer to any of the above is no:** please briefly explain why the research requires an alteration of the standard elements of informed consent:

36T

How will participants' informed consent be documented? Please check all that apply

- Signature on written consent document
- Signature on document to be read to the participants and witnessed by another party
- Written documentation of informed consent will not be obtained because one or more of the following criteria is satisfied (check all that apply):
  - The only link between the subject and the research would be the informed consent documentation, and the primary risk is loss of confidentiality.
  - The risks to participants (including risks to loss of privacy) are no greater than those ordinarily encountered in daily life and the research involves no procedures for which written consent is normally required outside of the research context.

Who will obtain the informed consent from the participants?

- Principal Investigator
- Co-Investigator
- Claflin Sponsor (in cases where PI is not affiliated with Claflin University)
- Other
- Not applicable

Please insert your protocol summary in this space (5 pages maximum)

36T

Please paste your recruitment materials (as applicable) in this space:

If this is a revised application, please describe the changes that you have made in response to the IRB's comments in this space.

## External Reviews and Funding

Has this protocol been reviewed by an Institutional Review Board or Human Subjects Review Committee at another institution(s)?  Yes  No

If yes: At what institutions(s)?  
36T

What is its status?  Approved  Rejected  Pending (or provisionally approved)

Has this protocol been submitted for Federal Funding?  Yes  No

If yes: Agency or Organization: 36T  
Submission date: 36T  
Funding Start date: 36T  Anticipated  Actual  
Contact Person: 36T  
Contact's Phone: **36T**

Has this protocol been submitted for any other types of funding?  Yes  No

If yes: Agency or Organization: 36T  
Submission date: 36T  
Funding Start date: 36T  Anticipated  Actual  
Contact Person: 36T  
Contact's Phone: **36T**

## Certificate of Agreement:

I certify that I agree to comply with the requirements of both Claflin University and the Office for Human research Protection (OHRP) of the United States Department of Health and Human Services as described in 45 CFR §46.

\_\_\_\_\_  
PI Signature: 36T

\_\_\_\_\_  
Co-PI Signature 36T

\_\_\_\_\_  
Claflin Sponsor (if applicable) 36T